



Audit Report

Pillai College of Arts, Commerce and Science

Address of Main Location

Dr. K.M. Vasudevan Pillai Campus
Sector 16
New Panvel
Navi Mumbai
Maharashtra
410206
India

Standard Audited **ISO 9001:2015**

Disclaimers and Notes

| Number | Disclaimer and Notes |
|--------|---|
| 1 | The report is confidential between the client and the URS Group of Companies and Regulators. Should a client and/or URS wish to circulate to other, non-named parties, then consent in writing should be obtained from the stated parties above. |
| 2 | The content of this report has been produced from samples taken during the visit. |
| 3 | If the client does NOT agree with the content of this report, the Client should notify URS within 7 working days of receipt of the report. |
| 4 | The audit has been performed in-line with the quotation and the scheme rules and regulations - refer to www.urs-holdings.com (Scheme Rules and Regulations) |
| 5 | Cancellation of a planned audit visit may incur a cost if not requested in writing and is less than 7 working days before the visit is scheduled - refer to www.urs-holdings.com |
| 6 | If significant changes are made to the management system, scope, location, number of sites or ownership, please notify URS in writing as soon as possible. |
| 7 | Please note that Audit Reports are independently reviewed within URS and in the unlikely event that the internal reviewer does not agree with the Auditor's recommendations and conclusion, URS shall notify you of the outcome. |
| 8 | During the independent review of this report, should the auditor be required to explain further his/her audit comments, the content of the audit report and supporting documents may be amended. Should any alteration materially affect the outcome of this report, you will be advised accordingly. |



2.0 Technical Aspects of the Audit and Changes

This section of the Report seeks to identify significant technical or business changes to the Client, that may effect the certification scope, the manner in which the audit was conducted, or is to be conducted for the future, which may effect the audit duration.

2.0.1 The Client's Fundamental Processes:

The auditor is ONLY defining the Client's Fundamental Processes or Departments that the client refers to in their management system (i.e.: functions in the standard such as Management Review, is a Management Process and is recorded else where). The auditor may further clarify a Client's fundamental process name to aid programme activities e.g.: if reference is made to Manufacture in a general engineering company, the auditor may expand its reference by stating Manufacture - cutting, Manufacture - Painting. The auditor is responsible for "building" the fundamental processes for the 3 year certification programme; as well as showing which fundamental processes have been seen in the individual audit plan for this visit.

| Name of the Fundamental Process or Department | Name of the Fundamental Process or Department | Name of the Fundamental Process or Department | Name of the Fundamental Process or Department | Fundamental Process or Department CHANGED since last Visit |
|---|---|---|---|--|
| Admission | Exam | -- | -- | --- |
| Batches | Results | -- | -- | --- |
| Classess | -- | -- | -- | --- |
| Syllbus Completion | -- | -- | -- | --- |
| -- | -- | -- | -- | --- |



2.0.2 The Client's Business Structure - Locations and Shifts

| Client Certification Structure | Change in Address(es) of Certification Structure | Address CHANGES | Changes in Work Pattern (e.g.: Shifts) | Shift CHANGES |
|--------------------------------|--|---|--|---------------|
| Single | No | Dr K.M Vasudeven Pillai campus, sector 16, New Panvel, New Mumbai Maharashtra 410026, India | No | --- |
| --- | --- | --- | --- | --- |

2.0.3 The Client's Scope

| Scope of Certification (refer to relevant Certificate) | Scope of Certification - minor CHANGES required |
|---|---|
| To Impart Education in Arts, Commerce and Science Leading to Bachelor's, Master's Based by the University of Mumbai | No change |

3.0 Significant Changes

Significant changes that have been identified by the auditor during planning activities, or during the audit itself, or notified by the client to the auditor, are changes that require additional time to audit. As such, the auditor should perform a Special Visit to verify compliance of the changes.

| Area of Significant Change | Applicability | Brief Description of Change | Estimated Duration of Special Visit |
|---|---------------|-----------------------------|-------------------------------------|
| NEW Location (to be added to Certification) | No | --- | -- |
| A major increase in Employee numbers (greater than 45% from last visit) | No | --- | -- |
| Major change in the volume of Sales and/or Customers (e.g.: over 40% increase since last visit) | No | --- | -- |
| Major Change in applied technology/equipment/processes (e.g.: hand solder to automatic placement of components) | No | --- | -- |
| Major change in Regulation and/or customer requirements | No | --- | -- |
| Major change in scope (e.g.: Adding Design, Installation, extended products or services offered) | No | --- | -- |



4.0 Audit Team Summary, Recommendations and Conclusion

4.0.1 Audit Team Summary

QMS implementation found satisfactory.
Context of the Organisation verified. Risk and Opportunities Identified and Found Documented.
Interested Parties Identified. Communication with them verified regarding their need and Opportunities.
Process and Its Interaction are documented.
Leadership found satisfactory. Top Management has reviewed the Output of Management review.
Verified teaching plan, time Table, Exam Schedule
Procedure for Teaching has been documented.
Student Feedback has been monitored.
Excluded-8.3 –design And Developmnt
Internal Audit and MRM Reviewed.
Continual Improvement seen Throughout the Organisation

Recommended for ISO 9001:2015 Certificate

4.0.2 Recommendation and Conclusion from the Normal Scheduled Visit

| Recommendation | Indicate Where Applicable |
|---|-------------------------------------|
| No action required | <input checked="" type="checkbox"/> |
| Consider the Comment(s) Raised for the Next Visit | <input type="checkbox"/> |
| Send in a Corrective Action Plan and EVIDENCE to close the Concern(s) - Ds (evidence should be sent within 60 working days) | <input type="checkbox"/> |
| As a Major NC(s) has been raised this visit, a Special Visit will be required to verify closure of the NC(s) - the visit must be performed within 90 days | -- |
| The date of the special visit has been booked for | --- |

| Conclusion | Indicate Next Action |
|---|-------------------------------------|
| Grant Certification or Continued Certification | <input checked="" type="checkbox"/> |
| Grant Certification or Continued Certification - Subject to the Client forwarding the information requested above | <input type="checkbox"/> |
| Suspension and Special Visit Required | <input type="checkbox"/> |
| Immediate withdrawal of Certification | <input type="checkbox"/> |

4.0.3 Audit Team Recommendation from Acknowledged Significant Changes

The recommendation below is based upon the information stated in the Table under section 3.0 of this report (Significant Changes). The URS Office will review the recommendation below and confirm, or otherwise the requirement.



| Special Recommendation | Duration of Special Visit (to the nearest 0.5 day) | Proposed Date |
|---|--|---------------|
| A special visit to verify compliance of the management system with respect to the significant changes noted is required; which may amend the formally issued Certificate of Registration. The special visit should be conducted no later than 90 days from this visit date. | --- | --- |



6.0 Comments Raised

OFI = Opportunity for Improvement - An area noted in a process that the auditor is aware that potential improvement can be made within the said process.
 PNC = Potential Non-Compliance - An area where the auditor has not fully audited the process (e.g.: a new change has been introduced to a process) but is aware that the process is not fully compliant.

| Number | Description of Comment | Comment Type |
|--------|------------------------|--------------|
| -- | --- | -- |
| -- | --- | -- |
| -- | --- | -- |
| -- | --- | -- |
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